

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademary Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/691,384

HOWARD EISENBERG, ESQ.

2206 APPLEWOOD COURT

PERKASIE, PA 18944

29085

10/22/2003

Stephen P. Oliver

HME/7477.0017

CONFIRMATION NO. 8786 FORMALITIES LETTER

OC000000013506487

Date Mailed: 08/11/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

08/17/2004 MBIZUNES 00000073 10691384

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Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/691,384 **Application Number** TRANSMITTAL October 22, 2003 Filing Date **FORM** Stephen P. Oliver **First Named Inventor** (to be used for all correspondence after initial **Group Art Unit** 1653 filing) **Examiner Name** Total Number of Pages in this 9 HME/7477.0017 Attorney Docket Number Submission **ENCLOSURES** (check all that apply) □ Fee transmittal form ☐ After Allowance Communication ☐ Assignment Papers (for an application) to Group ▼ Fee attached

■ Company

■ Company ☐ Amendment Appeal Communication to Board ☐ Drawing(s) of Appeals and Interferences ☐ After Final/ Response ☐ Affidavits/Declaration(s) ☐ Licensing Related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) ☐ Petition Routing Slip (PTO/SB/69) □ Proprietary Information ☐ Extension of Time Request and Accompanying Petition ☐ Express Abandonment Request ☐ Petition to Convert to a Provisional ☐ Status Letter Application ☐ Information Disclosure Statement ☐ Power of Attorney, Revocation, Additional Enclosures Change of Correspondence Address (identify below) Executed Declaration and Power of ☐ Certified copy of Priority ☐ Terminal Disclaimer Attorney (3 pages) **Documents** Response to Missing Parts/ ☐ Small Entity Statement Incomplete Application □ Request for Refund Response to Missing Parts Under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm or Individual Name Howard Eisenberg, Esq. 2206 Applewood Court Perkasie, Pennsylvania 18944 Signature Date August 13, 2004 CERTIFICATE OF MAILING I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, with sufficient postage as first class mail as "Express Mail Post Office to Addressee" - mailing label no.

Date

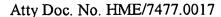
August 13, 2004

Type or print name

Signature

Howard Eisenberg

Complete If Known **FEE TRANSMITTAL** 10/691,384 Application Number for FY 2004 October 22, 2003 Filing Date Stephen P. Oliver Effective 10/01/2003. Patent fees are subject to annual revision. First Named Inventor Examiner Name Applicant claims small entity status. See 37CFR 1.27 Art Unit 1653 **TOTAL AMOUNT OF PAYMENT** \$65 Attorney Docket No. HME/7477.0017 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES ☐ Money Order □Credit Card □ Check Other .arge Entity Small Entity ee Deposit Account **Fee Description** Fee Paid Code (\$) Code (\$) **Deposit Account** 50-1773 051 130 2051 65 65 Surcharge - late filing fee or oath Number 25 Surcharge-late provisional filing fee or cover sheet Deposit Account 1052 50 2052 Howard Eisenberg The Commissioner is authorized to:(check all that apply) 1053 1053 130 130 Non-English specification Charge fees indicated below Credit any overpayments 1812 2,520 1812 2,520 For filing a request for ex-parte reexamination 1804 Charge any additional fee(s) during the pendency of this 1804 920* 920* Requesting publication of SIR prior to Examiner application 1805 1,8401 1805 1,840* Requesting publication of SIR after Examiner Charge any fee(s) indicated below, except for the filing fee to the action above-identified deposit account. 1251 2251 55 Extension for reply within first month 110 **FEE CALCULATION** 1252 420 2252 210 Extension for reply within second month 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month 1254 1,480 2254 Large Entity | Small Entity Fee Description Fee Paid 740 Extension for reply within fourth month Code 1255 2.010 2255 1,005 Extension for reply within fifth month (\$) Code (\$) 1001 770 2001 385 Utility filing fee 1401 330 2401 165 Notice of Appeal 2002 1402 330 2402 165 Filing a brief in support of an appeal 1002 340 170 Design filing fee 1003 530 2003 1403 2403 265 Plant filing fee 290 145 Request for oral hearing 2004 1004 770 385 Reissue filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1005 160 2005 80 Provisional filing fee 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) \$0 1453 1,330 2453 665 Petition to revive - unintentional 1,330 2501 665 Utility issue fee (or reissue) 1501 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1502 480 2502 240 Design issue fee Fee from 2503 1503 640 320 Plant issue fee Extra Claims Fee Paid below 0 x 130 1460 130 Petitions to the Commissioner Total Claims 45 1460 45 9 0 8 0 43 0 Indep. Claims 8 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 180 1806 180 Submission of Information Disclosure Stmt. Multiple Dependent 1806 Large Entity Small Entity Fee Description 8021 40 8021 40 Recording each patent assignment per property (times no. of properties) - total assignments Fee Fee Fee Code (\$) Code (\$) 770 2809 385 Filing a submission after final rejection 1809 1202 18 2202 Claims in excess of 20 (37 C.F.R. 1.129(a)) 9 1810 770 2810 385 For each additional invention to be examined 1201 2201 86 43 Independent claims in excess of 3 (37 CFR 1.129(b)) Multiple dependent claim, if not paid 1203 290 2203 1801 2801 385 Request for Continued Examination (RCE) 145 770 1204 86 2204 43 **Reissue independent claims over 1802 900 1802 900 Request for expedited examination of a design application original patent 1205 18 2205 *Reissue claims in excess of 20 and over Other fee (specify) original patent Reduced by Basic Filing Fee Paid SUBTOTAL (2) \$0 SUBTOTAL (3) \$65 **or number of previously paid, if greater. For reissues, see above. SUBMITTED BY Complete (if applicable) Name (print type) Howard Eisenberg, Esq. Registration No. 36.789 Telephone (215) 453-9237 Signature Date August 13, 2004





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION EXAMINING OPERATIONS

In re the Application of

Stephen P. Oliver, et al

Group Art Unit: 1653

Serial No. 10/691,384

Examiner:

Filed: October 22, 2003

Date: August 13, 2004

For a Patent for

STREPTOCOCCUS UBERIS ADHESION

MOLECULE

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION Under 37 CFR 1.53(b)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants submit herewith an executed Declaration and Power of Attorney as required in the Notice to File Missing Parts of Nonprovisional Application that was mailed on

August 11, 2004. A copy of the Notice and a check for the late declaration surcharge are enclosed.

Respectfully submitted,

Howard M. Eisenberg

Reg. No. 36,789

2206 Applewood Court

Perkasie, PA 18944

Attorney for Applicants

Tel: (215) 453-9237

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on August 13, 2004.

Dated: August 13, 2004

Howard M. Eisenberg